

PCCC21/008

PRIMARY CARE COMMISSIONING COMMITTEE

Title of paper	Primary Care Risk Register		
Agenda item	9	Date of meeting	19 May 2021
Director lead	Rachael King, Director of Commissioning – South West Hampshire		
Clinical lead (if applicable)	N/A		
Author	Kirsten Lawrence, Associate Director Normi Cadavieco, Senior Governance Manager		

Purpose	For decision	<input type="checkbox"/>
	To ratify	<input type="checkbox"/>
	To discuss	<input type="checkbox"/>
	To note/receive	<input checked="" type="checkbox"/>

Link to strategic objective	The strategic objectives of the NHS Hampshire, Southampton and Isle CCG are in development
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Executive Summary	
<p>Prior to the establishment of Hampshire, Southampton and Isle of Wight CCG, each of the constituent CCGs maintained risk registers in accordance with locally agreed processes.</p> <p>This paper sets out the moderate (amber) to high (red) scoring Primary Care risks from the predecessor CCGs. The attached table provides a summary of the open risks from those risk registers as of 31st March 2021 for the Committee's review.</p> <p>A review will be undertaken to identify themes and where a risk is CCG wide, whilst still ensuring that individual risks pertaining to a local area are recorded.</p> <p>Following this review, a full primary care risk register for the new CCG will be established. This will include all of the relevant information to provide assurance that these risks are being actively and effectively managed, as set out in the CCG's Risk Management Policy. The register should be updated and reviewed regularly in accordance with the policy. The Committee should maintain oversight of these risks via regular risk reporting.</p>	
Recommendations	The Primary Care Commissioning Committee is asked to note the primary care risks and agree that these and any other identified material risks be added to the CCG risk register.

Publication	Include on public website ✓
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Please provide details on the impact of following aspects	
Equality and quality impact assessment	N/A
Patient and stakeholder engagement	N/A
Financial and resource implications / impact	N/A
Legal implications	N/A
Principal risk(s) relating to this paper	N/A
Key committees / groups where evidence supporting this paper has been considered.	N/A

Governance and Reporting – other meetings where <u>this paper</u> been discussed		
Committee Name	Date discussed	Outcome

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Primary Care Risk Register May 2021

Risk Description	Former CCG Area	Current Score	Risk Area
There is a risk of sustainability due to pressures on Primary Care - due to increasing demand, workforce supply constraints and risks to viability of some practices	Southampton	16	Resilience
There is a financial risk to both the CCG and NHSPS tenant Practices where rates and charges are exceeding affordability and are as yet not agreed. If agreed this could result in practice closure. If the CCGs are obligated (or decide) to reimburse practices with regard to increases in NHSPS charges, then the CCGs' financial balance may be jeopardised, resulting in (for example) financial, service delivery and reputational impacts.	Fareham & Gosport and South Eastern Hampshire	12	Estates
There is a risk that due to GP recruitment difficulties that a few GP Practices struggle with day to day resilience due to sickness and would be very vulnerable to any future loss of GPs.	Isle of Wight	12	Resilience
The number of GPs and other members of the workforce continues to fall and recruitment remains difficult leading to potential problems delivering Primary Care	Isle of Wight	12	Resilience
The provision of good quality, fit for purpose estate is a critical enabler to the transformation and development of primary care and Primary Care Networks A significant proportion of GP premises require modernisation or upgrade in order to meet the increasing needs of the population and integrated care teams working as part of PCNs, which will need access to both clinical and non-clinical space to enable the delivery of services. Currently - primary care is at capacity for space, indeed staff in new roles that are anticipated to be recruited to as part of the PCN DES will certainly not have space to work from.	Isle of Wight	12	Estates
Due to the current Covid-19 pandemic, there is a risk that patients will have difficulty in accessing primary care services. Access issues may be due to various restraints faced by providers, such as	West Hampshire	12	Covid-19

staff shortages and infection control guidance and equipment.			
If the GP remote connection solution operating on Windows server 2003 is not decommissioned/replaced by CSU there will be an increased security risk for the organisation of security breaches, viruses etc as this platform is no longer supported by Microsoft and no patches will be designed for this product	West Hampshire	12	Transformation
There is a risk that the CCG does not deliver on the implementation of the Digital Strategy and thus its key objectives. This might result in the failure to achieve key IT targets and delivery of the transformation of Primary Care	North Hampshire	10	Transformation
The development of primary care networks and the contribution of networks to managing demand are set out in the NHS Long Term Plan. Whilst PCNs have been established across West Hampshire CCG, each network is at a different stage of organisational development and maturity.	West Hampshire	9	Transformation
There is a risk that engagement of members and localities may not be sufficiently robust to enable the CCG to achieve its objectives and carry out its functions and responsibilities.	Southampton	9	Engagement
If the shortage of GPs and other clinical staff continues, then there may be a negative impact on the sustainability of general practice resulting in a poorer service for patients.	West Hampshire	8	Resilience