

# HSI21/020

## GOVERNING BODY

<b>Title of paper</b>	Annual Report and Accounts 2020/21		
<b>Agenda item</b>	9	<b>Date of meeting</b>	9 June 2021
<b>Director leads</b>	Roshan Patel, Chief Finance Officer Jane Cole, Director of Finance Simon Garlick, Non Executive Director (Governance)		
<b>Clinical lead</b>	Not applicable		
<b>Author</b>	Ian Corless, Board Secretary Dan Williams, Associate Director of Finance		

<b>Purpose</b>	For decision	<input checked="" type="checkbox"/>
	To ratify	<input checked="" type="checkbox"/>
	To discuss	<input type="checkbox"/>
	To note	<input type="checkbox"/>

<b>Executive Summary</b>
<p>On 27 April 2021 the 2020/21 draft Annual Reports and Accounts for all six predecessor CCGs of NHS Hampshire, Southampton and Isle of Wight CCG were submitted to NHS England and Grant Thornton, for audit:</p> <ul style="list-style-type: none"> <li>• Fareham and Gosport CCG</li> <li>• Isle of Wight CCG</li> <li>• North Hampshire CCG</li> <li>• South Eastern Hampshire CCG</li> <li>• Southampton City CCG</li> <li>• West Hampshire CCG</li> </ul> <p>Since this time the audit of the Annual Report and Accounts for all CCGs has progressed and an update was provided to the Audit and Risk Committee which met on 12 May 2021. At the time of writing this paper (1<sup>st</sup> June 2021) there are no significant or material issues to report following the work that Grant Thornton, the CCG's external auditors, have undertaken, which is now nearing completion with a majority of testing and reviews complete. The window for audit adjustments in the financial ledger closes on 9 June 2021.</p>

The Annual Report and Accounts produced for all six CCGs have been shared with all senior teams for review and feedback throughout the process, and the finance and governance teams have been in regular dialogue with the auditors to facilitate with any queries and agree any required amendments.

The Audit and Risk Committee is scheduled to meet on 7 June 2021 to review the Annual Reports and Accounts 2020/21 and a report and recommendation will be brought to the Governing Body on 9 June 2021. A copy of the draft Annual Reports submitted to the Audit and Risk Committee is shared in the Reading Room for governing body members to review and any significant changes made will be shared with the Governing Body.

We are pleased to report that all six CCGs reported a small in-year surplus across a combined allocation in excess of £2.8 billion, as summarised below:

	In-year Allocation	Net Expenditure	In-year Surplus/(Deficit)
CCG	£'000	£'000	£'000
Fareham & Gosport	316,272	316,258	15
Isle of Wight	278,158	278,116	42
North Hampshire	348,740	348,706	34
South Eastern Hampshire	534,319	534,287	32
Southampton	434,147	434,124	23
West Hampshire	923,035	922,989	46
<b>Total</b>	<b>2,834,671</b>	<b>2,834,479</b>	<b>192</b>

The final audited submission of the Annual Report and Accounts are due on 15 June 2021, and the focus over the up and coming days will be to close off any final queries and line up all the documents for signatures ahead of the final submission date.

The CCG has a clear submission process and plan to ensure there are no issues, errors or delays ahead of the submission deadlines. Now the audit is nearing completion the CCGs are on course and ready to submit the Annual Report and Accounts as well as other required documentation and templates.

It has been confirmed that the final audited Annual Reports and Accounts need to be published on CCG websites by 9 July 2021, and a public meeting must then be held prior to 30 September 2021 at which the Annual Report and Audited Accounts must be presented.

<b>Recommendations</b>	<b>The Governing Body is asked to approve the predecessor CCGs' Annual Reports and Accounts 2020/21 following review by the Audit and Risk Committee on 7 June 2021</b>
<b>Publication</b>	<b>Include on public website ✓</b>

<b>Please provide details on the impact of following aspects</b>	
Equality and quality impact assessment	The annual report and accounts set out the control measures in place to ensure that the CCG complies with the required public sector equality duty set out in the Equality Act 2010.
Patient and stakeholder engagement	The CCG should publish their Annual Report and Accounts in full on their public website by 9 July in line with the NHS England submission. A public meeting must then be held prior to 30 September 2021 at which the Annual Report and Audited Accounts must be presented.
Financial impact, legal implications and risk	<p>This paper supports the Audit Committee and Board to monitor delivery against the CCG's statutory financial duties.</p> <p>Failure to achieve financial targets will impact on opportunities to maintain and improve healthcare for the local population.</p>