

HSI22/008

GOVERNING BODY

Title of paper	Reports from Committees of the Governing Body		
Agenda item	10	Date of meeting	2 February 2022
Director leads	Simon Garlick, Non Executive Director for Governance – Chair, Audit and Risk Committee Edward Palfrey, Non Executive Director (Secondary Care Specialist) – Chair, Quality, Performance and Finance Committee		
Clinical lead (if applicable)	Nicola Decker, CCG Clinical Leader		
Author	Stephen Cummins, Governance Manager Helen Goff, Governance and Committee Support Officer		

Purpose	For decision	<input type="checkbox"/>
	To ratify	<input type="checkbox"/>
	To discuss	<input type="checkbox"/>
	To note/receive	<input checked="" type="checkbox"/>

Executive Summary	
<p>Since the last meeting in public of the Governing Body in November, the following Committees of the Governing Body have met in line with the approved Terms of Reference/CCG Constitution:</p> <ul style="list-style-type: none"> Audit and Risk Committee held on 26 January 2022, chaired by Simon Garlick Quality, Performance, Finance and Workforce Committee held on 26 January 2022, chaired by Ed Palfrey <p>A summary report is appended from each of the Committees, highlighting to the Governing Body the key issues and subjects discussed.</p>	
Recommendations	<p>The Governing Body is asked to receive and review the summary reports from the following meetings:</p> <ul style="list-style-type: none"> Audit and Risk Committee held on 26 January 2022 Quality, Performance, Finance and Workforce Committee held on 26 January 2022
Publication	Include on public website ✓

Please provide details on the impact of following aspects	
Equality and quality impact assessment	This paper does not request decisions that impact on equality and diversity.
Patient and stakeholder engagement	Not applicable
Financial and resource implications / impact	There are no financial implications arising from this paper.
Legal implications	There are no legal implications arising from this paper.
Principal risk(s) relating to this paper	There are no new risks arising from this paper.
Key committees / groups where evidence supporting this paper has been considered.	Audit and Risk Committee held on 26 January 2022 Quality, Performance, Finance and Workforce Committee held on 26 January 2022

Audit and Risk Committee Summary	
1.	Date of Meeting: 26 January 2022
2.	Overview of business including key issues for Governing Body:
	<p>Future of CCG/ICS – implications for committee and auditors - The committee received a verbal update which outlined the</p> <ul style="list-style-type: none"> • 21/22 12 months accounting period for the CCG • 22/23 3 months accounting period for the CCG • 22/23 9 months accounting period for the ICB <p>Importantly, the external audit of the CCG 3 months accounts and that of the ICB, will not be required until early summer 2023.</p>
	<p>ICS/CCG Financial Update 21/22 - The committee received an update on the financial position of the CCG, noting additional investment of £15.7m against a deficit of £15.6m, which supported all systems to reach breakeven across Hampshire and the Isle of Wight. The committee noted additional funding available for Omicron surges, leaving the CCG in a good position going in to 2022/23.</p>
	<p>Public Inquiry Update - The Committee received a paper which set out the status of the Public Inquiry work arising from the Covid-19 pandemic and provided a high-level overview of work underway including key actions, risks and the Terms of Reference.</p>
	<p>Governing Body Assurance Framework (GBAF) - The committee reviewed the GBAF noting that the comments made at the last meeting have yet to be actioned due to the ongoing Covid priority. The following additional comments were made: Risk ID 02 - Achieving Constitutional Targets & Improving Care, and Risk ID 06 - Estates & Sustainability. Should the scores for these two risks be increased to 20? The committee queried whether the ongoing system pressures presented a risk in itself.</p>
	<p>Briefing for CCGs and ICBs on Data Security and Protection Toolkit - The committee received a briefing on the arrangements for submission of the Data Security and Protection Toolkit for 21/22.</p>
	<p>Internal Audit</p> <ol style="list-style-type: none"> i. Update on the Implementation of Recommendations – The Committee received a paper which provided an update in relation to progress being made in implementing recommendations arising from Internal Audit reviews. ii. Progress report against the 2021/22 Annual Internal Audit Plans – The Committee received a report, which provided the committee with an update on the progress since the last meeting, and brought to the attention of the committee any significant Internal Audit and related issues which may have an impact on the CCGs. iii. Pausing of the Internal Audit Programme – The Committee received a paper requesting that the Internal Audit Plan for 2021/22 be paused until at least February 2022. Officers will hold further, immediate discussions and share a

	<p>revised IA programme for approval by the Committee.</p> <p>iv. Final Internal Audit Reports – The Committee received two final reports issued following the last meeting.</p> <ul style="list-style-type: none"> • Primary Care Resilience • Key Finance Systems – Phase 2
	<p>Financial Year End - Key points and deadlines – The Committee received a verbal update on the draft timetable for the 2021/22 year end.</p>
3.	<p>Any Other Business</p> <p>The Committee ratified a number of items in the reading room including a Fraud, Bribery and Corruption Progress Report and the recommendations of the Policy Sub-Group, which had reviewed and approved the following policies:</p> <ul style="list-style-type: none"> • Sponsorship of Activities and Joint Working with the Pharmaceutical and Medical Device Industry Policy • Reimbursement of Patient and Public Out of Pocket Expenses Policy • Freedom to Speak Up Policy • Leave Policy • Management of Complaints and Concerns Policy
4.	<p>Items to escalate to Board</p> <p>No items were identified for escalation to the Governing Body.</p>
5.	<p>Key reference documents:</p> <ul style="list-style-type: none"> • Draft Minutes of meeting held on 26 January 2022 will be published once approved by the committee.
6.	<p>Date of Next Meeting - 23 March 2022</p>